

## BOX PATENT

Attorney Docket No. 23057-XY

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PEOCH SO

In re Application of

JUL 0 8 2002

PATIERNO et al.

FEOH CENTER 1839,2000 Group Art Unit: 1633

Serial No. Filed:

10/052.498

January 23, 2002

Examiner: Not assigned

For:

UTEROGLOBIN GENE THERAPY FOR EPITHELIAL CELL CANCER

#### TRANSMITTAL LETTER

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449.

The Commissioner is hereby specifically authorized to charge any required fee, or credit any overpayment, in connection with this matter to deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC

Date: July 3 , 2002

By:

Todd L. Juneau Reg. No. 40,669

Lee C. Heiman

Registration No. 41,827

Customer No. 20529

NATH & ASSOCIATES PLLC

1030 15th Street N.W., 6th Floor Washington, D.C. 20005-1503

Tel: (202) 775-8383 Fax: (202) 775-8396

GMN:TLJ:LCH IDS.tl.wpd



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### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. §§ 1.56 and 1.97-1.99, the documents listed on the attached Form PTO-1449 are being brought to the attention of the Examiner in charge of the captioned application. No fee is required for this submission because it is submitted within three months from the filing date or before a First Action on the Merits has been mailed by the U.S. Patent and Trademark Office.

Copies of the documents are not required to be provided because they were previously submitted to, or cited by, the Patent Office in prior U.S. Patent Application No. 08/987,502, filed December 9, 1997, U.S. Patent Application No. 09/556,467, filed April 21, 2000, U.S. Patent Application No. 08/966,196, filed November 7, 1997, U.S. Patent Application Serial Number 08/658,796, filed June 5, 1996, U.S. Patent Application Serial Number

Attorney Docket No.: 23057-XY Serial No.: 10/052,498

08/486,203, filed June 7, 1995, and U.S. Patent Application Serial Number 08/400,084, filed March 7, 1995. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

The Examiner is welcomed to telephone the undersigned attorney if (s)he has any questions or comments.

Respectfully submitted.

NATH & ASSOCIATES PLACE

Date: July 3 , 2002

Bv:

Todd L. Juneau Reg. No. 40,669

Lee C. Heiman Registration No. 41,827 Customer No. 20529

NATH & ASSOCIATES PLLC

1030 15th Street, NW - 6th Floor Washington, D.C. 20005

Tel: (202) 775-8383 Fax: (202) 775-8396 GMN/LCH IDS.wpd

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# FORM PTO-1449 ORMATION DISCLOSURE CITATION

Attorney Docket Serial Number 23057-XY 10/052,498

Applicant

PATIERNO et al.

Filing Date Group Art Unit January 23, 2002 1633

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	AA	5,662,896	09/02/97	Barber et al.	424	93	03/17/93				
	AB	5,373,095	12/13/94	Johnson et al.	540	95.	09/23/93				
	AC	5,359,078	10/25/94	Kohn et al.		33	12/04/92				
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Singh, Gurmukh et al., "Amino-acid and cDNA nucleotide sequences of human Clara cell 10 kDa protein," <u>BBA</u>, 1988, 950, 329-337.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with NPEP \$ 609.
Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.

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